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UNITED STATES PATENT  
AND TRADEMARK OFFICE

-- PATENT --

Inventor(s): Suzanne P. Crane, et al.

Docket No.: 10655.7700

Serial No.: 09/415,632

Group Art Unit: 3628

Filing Date: October 12, 1999

Examiner: Richard C. Fults

Title: SYSTEM AND METHOD FOR  
DIVIDING A REMITTANCE AND  
DISTRIBUTING A PORTION OF  
THE FUNDS TO MULTIPLE  
INVESTMENT PRODUCTS

Confirmation No.: 5093

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**DECLARATION FOR PATENT APPLICATION AND STATEMENTS OF  
SUZANNE P. CRANE, MARCUS SHEIRE, MARK D. SWEAZY, BONNIE SCHLEGEL  
AND JOAN PRAIRIE PURSUANT TO 37 C.F.R §1.48(a)**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A  
PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS**

the specification of which was filed on October 12, 1999 as Application Serial No. 09/415,632 and was amended on January 9, 2003 and May 27, 2003 is amended herewith.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign  
Application(s)  
N/A

Priority Not Claimed

[ ]

Number Country Filing Date

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

N/A  
Application Number Filing Date

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A  
Application Number Filing Date Status (Patent, Pending, Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with the Snell & Wilmer, L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

Full name of sole or first joint inventor: Suzanne P. Crane

Inventor's signature: [Signature] Date: 7-16-03

Residence Address: 5249 Pennsylvania

City/State: New Hope MN Zip Code: 55428

Citizenship: US

Full name of second joint inventor: Marcus Sheire

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: \_\_\_\_\_

City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Prior Foreign  
Application(s)  
N/A

Priority Not Claimed

[ ]

Number Country Filing Date

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

N/A

Application Number Filing Date

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A

Application Number Filing Date Status (Patent, Pending, Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with the Snell & Wilmer, L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

Full name of sole or first joint inventor: Suzanne P. Crane

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: \_\_\_\_\_

City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Full name of second joint inventor: Marcus Sheire

Inventor's signature: Marcus Sheire Date: 7-10-03

Residence Address: 1540 OSCEOLA AVE

City/State: ST. PAUL MN Zip Code: 55105

Citizenship: UNITED STATES

Full name of third joint inventor: Mark D. Sweazy  
Inventor's signature: Mark D. Sweazy Date: 7-11-2003  
Residence Address: 15201 Crown Drive  
City/State: Minnetonka, MN Zip Code: 55345  
Citizenship: US

Full name of fourth joint inventor: Bonnie Schlegel  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of fifth joint inventor: Joan Prairie  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of sixth joint inventor: Rockell Metcalf  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of third joint inventor: Mark D. Sweazy

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: \_\_\_\_\_

City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Full name of fourth joint inventor: Bonnie Schlegel

Inventor's signature: Bonnie Schlegel Date: 7/14/03

Residence Address: 543 84TH CIRCLE NW

City/State: COON RAPIDS MN Zip Code: 55433

Citizenship: U.S.

Full name of fifth joint inventor: Joan Prairie

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: \_\_\_\_\_

City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Full name of sixth joint inventor: Rockell Metcalf

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: \_\_\_\_\_

City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Full name of third joint inventor: Mark D. Sweazy  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of fourth joint inventor: Bonnie Schlegel  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of fifth joint inventor: Joan Prairie  
Inventor's signature: Joan Prairie Date: 01-23-2004  
Residence Address: 2715 W 28th Street  
City/State: Minneapolis MN Zip Code: 55416  
Citizenship: USA

Full name of sixth joint inventor: Rockell Metcalf  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of third joint inventor: Mark D. Sweazy  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of fourth joint inventor: Bonnie Schlegel  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of fifth joint inventor: Joan Prairie  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence Address: \_\_\_\_\_  
City/State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Citizenship: \_\_\_\_\_

Full name of sixth joint inventor: Rockell Metcalf  
Inventor's signature: Rockell Metcalf Date: 7-17-03  
Residence Address: 101 Wooster St. Apt. 2R  
City/State: NY NY Zip Code: 10012  
Citizenship: USA

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

-- PATENT --

Inventor(s):	Suzanne P. Crane, et al.	Docket No.:	10655.7700
Serial No.:	09/415,632	Group Art Unit:	3628
Filing Date:	October 12, 1999	Examiner:	Richard C. Fults
Title:	SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS	Confirmation No.:	5093

STATEMENT OF SUZANNE P. CRANE PURSUANT TO 37 C.F.R §1.48(a)

I, Suzanne P. Crane, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date: 7-16-03

  
Suzanne P. Crane

5249 Pennsylvania  
Street/Mailing Address

New Hope MN      55428  
City                      State                      Zip Code

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**CERTIFICATE OF FACSIMILE/MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence, along with accompanying documents, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: August 8, 2003

By: Heborah A. Min

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

**-- PATENT --**

Inventor(s):	Suzanne P. Crane, et al.	Docket No.:	10655.7700
Serial No.:	09/415,632	Group Art Unit:	3628
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Title:	SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS	Confirmation No.:	5093

**REQUEST TO CORRECT INVENTORSHIP  
PURSUANT TO 37 C.F.R. § 1.48(A)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dear Commissioner:

Pursuant to 37 C.F.R. §1.48(a), Applicant hereby requests correction of the inventorship in the above-identified application. Specifically, Applicant requests the addition of inventor Rockell Metcalf, as also set forth in the Preliminary Amendment filed herewith.

This Request is accompanied by Declarations signed by each of the inventors, Suzanne P. Crane, Joan Prairie, Bonnie Schlegel, Marcus Sheire and Mark D. Sweazy, which include a statement that the error in inventorship occurred without deceptive intention on their part. In addition, written consent of the assignee is enclosed herewith.

Also enclosed herewith is our Check No. 294791 in the amount of \$130.00 to cover the fee required by 37 C.F.R. §§1.17(i) and 1.48. The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. §1.16 and any patent application processing fees under 37 C.F.R. §1.17 associated with this communication, or credit any overpayment, to Deposit Account No. 19-2814.  
**A duplicate of this Petition is enclosed.**

Respectfully submitted,



Dated: August 8, 2003

Howard I. Sobelman  
Reg. No. 39,038

**SNELL & WILMER L.L.P.**  
400 E. Van Buren  
One Arizona Center  
Phoenix, Arizona 85004  
Phone: 602-382-6228  
Fax: 602-382-6070  
Email: [hsobelman@swlaw.com](mailto:hsobelman@swlaw.com)



IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE


-- PATENT --

Inventor(s):	Suzanne P. Crane, et al.	Docket No.:	10655.7700
Serial No.:	09/415,632	Group Art Unit:	3628
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STATEMENT OF MARCUS SHEIRE PURSUANT TO 37 C.F.R §1.48(a)

I, Marcus Sheire, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date: 7-10-03

  
Marcus Sheire  
1540 OSCEOLA AVE  
Street/Mailing Address  
ST. PAUL MN 55105  
City State Zip Code

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IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

-- PATENT --

Inventor(s):	Suzanne P. Crane, et al.	Docket No.:	10655.7700
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STATEMENT OF MARK D. SWEAZY PURSUANT TO 37 C.F.R §1.48(a)

I, Mark D. Sweazy, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date:

7-11-2003

Mark D. Sweazy  
Mark D. Sweazy

15201 Crown Drive  
Street/Mailing Address

Minnetonka, MN 55345  
City State Zip Code

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IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

-- PATENT --

Inventor(s):	Suzanne P. Crane, et al.	Docket No.:	10655.7700
Serial No.:	09/415,632	Group Art Unit:	3628
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STATEMENT OF BONNIE SCHLEGEL PURSUANT TO 37 C.F.R §1.48(a)

I, Bonnie Schlegel, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date:

7/14/03

Bonnie Schlegel  
Bonnie Schlegel

543 84TH CIRCLE NW  
Street/Mailing Address

CONRADIA MN 55433  
City State Zip Code

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IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

-- PATENT --

Inventor(s):	Suzanne P. Crane, et al.	Docket No.:	10655.7700
Serial No.:	09/415,632	Group Art Unit:	3628
Filing Date:	October 12, 1999	Examiner:	Richard C. Fults
Title:	SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS	Confirmation No.:	5093

STATEMENT OF JOAN PRAIRIE PURSUANT TO 37 C.F.R §1.48(a)

I, Joan Prairie, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date: 07-23-2004

Joan Prairie  
Joan Prairie

2715 W 28th Street  
Street/Mailing Address

Minneapolis MN      55416  
City                      State                      Zip Code

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-- PATENT --

Inventor(s):	Suzanne P. Crane, et al.	Docket No.:	10655.7700
Serial No.:	09/415,632	Group Art Unit:	3628
Filing Date:	October 12, 1999	Examiner:	Richard C. Fults
Title:	SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS		
		Confirmation No.:	5093

**CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP PURSUANT TO  
37 C.F.R. §1.48(a) AND STATEMENT PURSUANT TO 37 C.F.R. §3.73(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dear Commissioner:

American Express Travel Related Services Company, Inc. (hereinafter "Assignee"), a corporation having a place of business at General Counsel's Office, American Express Tower, World Financial Center, New York, New York 10285-4900, hereby consents to the correction of inventorship filed herewith in which inventor Rockell Metcalf is to be added as inventor in the above-captioned patent application.

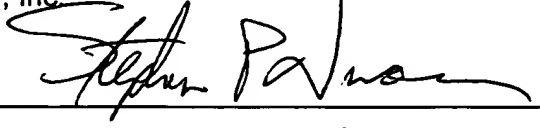
Assignee certifies that to the best of Assignee's knowledge and belief, it is the Assignee of the entire right, title and interest in and to the above-identified patent application, and represents that the undersigned is a representative authorized and empowered to sign on behalf of Assignee.

Ownership of the above-identified patent application is established by virtue of the following documentary evidence that has been reviewed by the undersigned:

Assignments from the inventor(s) of the patent application identified above. The assignments were recorded in the Patent and Trademark Office at Reel 010682, Frame 0572 and at Reel 010682, Frame 0395.

Assignee of Interest:  
American Express Travel Related Services  
Company, Inc.

Dated: 7-15-03

By: 

Printed Name: Stephen P Norman

Title: Secretary